

JC10 Rec'd PCT/PTO 24 JAN 2002

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| FORM PTO-1390<br>(REV 11-2000)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br>GH 02010                                |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/031969</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/AU00/00803   | INTERNATIONAL FILING DATE<br>30 JUNE 2000 (30.06.2000) | PRIORITY DATE CLAIMED<br>27 JULY 1999 (27.07.1999)      |   |
| TITLE OF INVENTION<br><b>CONTAINER HANDLING APPARATUS OR CRADLE</b>   |  |   |   |
| APPLICANT(S) FOR DO/EO/US<br>SCHMIDT, Hans Heinrich   |  |   |   |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau. (Not Known)</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(C)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limited for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11 to 20 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items of information:             <ol style="list-style-type: none"> <li>International Search Report</li> <li>International Preliminary Examination Report</li> </ol> </li> </ol> |  |   |   |

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| U.S. APPLICATION NO. <b>10/031969</b> (if known, see 37 CFR 1.5) |  | INTERNATIONAL APPLICATION NUMBER<br>PCT/AU00/00803 |  | ATTORNEY DOCKET NUMBER<br>GH 02010 |  |
|--|--|--|--|------------------------------------|--|

|   |              |              |           |                              |  |              |  |
|---|--------------|--------------|-----------|------------------------------|--|--------------|--|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by EPO or JPO.....\$1040.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO.....\$890.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$740.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$710.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT article 33(1)-(4) ..... \$100.00<br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |              |           | CALCULATIONS                 |  | PTO USE ONLY |  |
|   |              |              |           | \$ 1,040.00                  |  |              |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |              |           | \$ 130.00                    |  |              |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE      |                              |  |              |  |
| TOTAL CLAIMS  | 11 -20=      | 0            | X \$18.00 | \$                           |  |              |  |
| INDEPENDENT CLAIMS  | 1 - 3=       | 0            | X \$84.00 | \$                           |  |              |  |
| MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) + \$280.00  |              |              |           | \$ 1,170.00                  |  |              |  |
| TOTAL OF ABOVE CALCULATIONS =   |              |              |           | \$                           |  |              |  |
| <input checked="" type="checkbox"/> Reduction by 1/2 for filing by small entity, if applicable.   |              |              |           | \$ 585.00                    |  |              |  |
| SUBTOTAL =  |              |              |           | \$ 585.00                    |  |              |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |           | \$                           |  |              |  |
| TOTAL NATIONAL FEE =  |              |              |           | \$                           |  |              |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +  |              |              |           | \$                           |  |              |  |
| TOTAL FEES ENCLOSED =   |              |              |           | \$ 585.00                    |  |              |  |
|   |              |              |           | Amount to be:<br>refunded \$ |  |              |  |
|   |              |              |           | charged \$                   |  |              |  |

a. ☒ Check no. 6154 in the amount of \$ 585.00 to cover the above fees is enclosed.

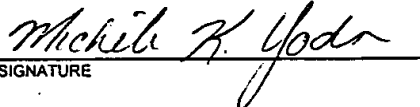
b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all Correspondence to:

**JAMES RAY & ASSOCIATES**  
 2640 PITCAIRN ROAD  
 MONROEVILLE, PA 15146

  
 SIGNATURE  
 Michele K. Yoder  
 NAME  
 41,562  
 REGISTRATION NUMBER

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I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as EXPRESS MAIL  
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Commissioner of Patents and Trademarks  
Washington, D.C. 20231

on 1-24-02  
Susette Flaherty